The candidate should be able to:

* 6.1.1 explain the purpose of investor engagement and stewardship
* 6.1.2 explain why engagement is considered beneficial and some of the key criticisms of engagement
* 6.1.3 explain the main principles and requirements of stewardship codes as they apply to institutional asset management firms: UK Walker Review (2009) and Stewardship Code (2020); US Employee Retirement Income Security Act (ERISA) guidelines; EU European Fund and Asset Management Association (EFAMA) Stewardship Code
* 6.1.4 explain how engagement is achieved in practice, including key differences in objectives, style, and tone
* 6.1.5 apply appropriate methods to establish an engagement approach: strategy and tactics-goal-setting; identifying who to talk with; formalities-hosting/agenda/managing expectations; communication-approach/tone/managing tensions; working towards agreement; escalation techniques, including collective engagement; ESG investment forums; proxy voting
* 6.1.6 describe approaches of engagement across a range of asset classes